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NONCOMMUTATIVE REAL ALGEBRAIC GEOMETRY OF KAZHDAN'S PROPERTY (T)

NARUTAKA OZAWA

ABSTRACT. It is well-known that a finitely generated group Γ has Kazhdan's property (T) if and only if the Laplacian element Δ in $\mathbb{R}[\Gamma]$ has a spectral gap. In this paper, we prove that this phenomenon is witnessed in $\mathbb{R}[\Gamma]$. Namely, Γ has property (T) if and only if there are a constant $\kappa > 0$ and a finite sequence ξ_1, \dots, ξ_n in $\mathbb{R}[\Gamma]$ such that $\Delta^2 - \kappa\Delta = \sum_i \xi_i^* \xi_i$. This result suggests the possibility of finding new examples of property (T) groups by solving equations in $\mathbb{R}[\Gamma]$, possibly with an assist of computers.

1. INTRODUCTION

Kazhdan's property (T) is one of the two most important concepts in analytic group theory (the other being amenability). The mere existence of an infinite group with property (T) is surprising and has a wide range of applications. Therefore, it is not so surprising that any proof of property (T) for any infinite group is necessarily involved. See the monograph [BHV08] for the detailed treatment of property (T). In this paper, we investigate the noncommutative real algebraic geometric aspects (cf. [NT13, Oz13, Sc09]) of property (T) and see that property (T) is *provable*. Let Γ be a finitely generated group and μ be a finitely supported symmetric probability measure on Γ whose support generates Γ . Then the corresponding Laplacian element Δ_μ is the positive element in the real group algebra $\mathbb{R}[\Gamma]$, defined by

$$\Delta_\mu = \frac{1}{2} \sum_{x \in \Gamma} \mu(x)(1 - x)^*(1 - x) = 1 - \sum_{x \in \Gamma} \mu(x)x.$$

It is well-known ([Va84, BHV08]) that the group Γ has property (T) if and only if the Laplacian element Δ_μ has a *spectral gap*, i.e., there is $\kappa > 0$ such that for every orthogonal Γ -representation π on a real Hilbert space H the spectrum of $\pi(\Delta_\mu) \in \mathbb{B}(H)$ is contained in $\{0\} \cup [\kappa, +\infty)$. In turn, this is equivalent to that $\Delta_\mu^2 - \kappa\Delta_\mu \geq 0$ in the full group C^* -algebra $C^*\Gamma$. See Chapter 5 in [BHV08] or Chapter 12 in [BO08] for the

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proof of this fact. The main result of this paper is that the inequality $\Delta_\mu^2 - \kappa\Delta_\mu \geq 0$ is witnessed in $\mathbb{R}[\Gamma]$.

Main Theorem. *Let Γ , μ , and Δ_μ be as above. Then, the group Γ has Kazhdan's property (T) if and only if there are a constant $\kappa > 0$ and a finite sequence ξ_1, \dots, ξ_n in $\mathbb{R}[\Gamma]$ such that $\Delta_\mu^2 - \kappa\Delta_\mu = \sum_i \xi_i^* \xi_i$. Moreover, if Γ has property (T), μ takes rational values, and $\kappa > 0$ is a rational number such that the spectrum of Δ_μ in $C^*\Gamma$ is contained in $\{0\} \cup (\kappa, +\infty)$, then*

$$\Delta_\mu^2 - \kappa\Delta_\mu \in \left\{ \sum_{i=1}^n \xi_i^* \xi_i : n \in \mathbb{N}, \xi_1, \dots, \xi_n \in \mathbb{Q}[\Gamma] \right\}.$$

The present work is motivated by the following two facts. The first is that an explicit formula for $\Delta_\mu^2 - \kappa\Delta_\mu = \sum_i \xi_i^* \xi_i$ is known in some cases ([BS97, Žu03], see Example 5 below). The second is the following result of Shalom.

Corollary (Shalom [Sh00]). *Every property (T) group is a quotient of a finitely presented property (T) group.*

Proof. Let Γ be a property (T) group (which is necessarily finitely generated) and take a symmetric finite generating subset S . Then, by Main Theorem, for the uniform probability measure μ on S , there a constant $\kappa > 0$ and a finite sequence ξ_1, \dots, ξ_n in $\mathbb{R}[\Gamma]$ such that $\Delta_\mu^2 - \kappa\Delta_\mu = \sum_i \xi_i^* \xi_i$. Let F_S be the free group over S and $Q: F_S \rightarrow \Gamma$ be the corresponding quotient map. Let $\tilde{\mu}$ be the uniform probability measure on S which viewed as a subset of F_S and $\tilde{\xi}_i \in \mathbb{R}[F_S]$ be lifts of ξ_i through Q . Thus, one has $Q(\Delta_{\tilde{\mu}}^2 - \kappa\Delta_{\tilde{\mu}} - \sum_i \tilde{\xi}_i^* \tilde{\xi}_i) = 0$. Therefore for the finite subset

$$\mathcal{R} = \{x^{-1}y \in F_S : x, y \in \text{supp}(\Delta_{\tilde{\mu}}^2 - \kappa\Delta_{\tilde{\mu}} - \sum_i \tilde{\xi}_i^* \tilde{\xi}_i) \text{ such that } Q(x) = Q(y)\},$$

the finitely presented group $\langle S \mid \mathcal{R} \rangle$ has property (T), by Main Theorem again, and Γ is a quotient of $\langle S \mid \mathcal{R} \rangle$. \square

Another consequence of Main Theorem is that property (T) is semidecidable, a fact which is already observed by Silberman [Sil11]. At this moment, the author is unable to provide new examples of property (T) groups, but only hopes this result be useful in proving property (T) for some new cases, possibly with an assist of computers.

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2. PRELIMINARY

In this section, we review basic facts about convex geometry and their application to the study of group algebras. See Chapters II and III of [Ba02] or [Oz13] for the former, and [NT13, Oz13, Sc09] for the latter. Let V be an \mathbb{R} -vector space and $C \subset V$ be a convex subset. An element $c \in C$ is called an *algebraic interior* point of C if for every $v \in V$ there is $t \in (0, 1]$ such that $(1 - t)c + tv \in C$. Let $\text{int}(C)$ denote the set of algebraic interior points of C . Notice that for every $c \in \text{int}(C)$ and $w \in C$, one has $tc + (1 - t)w \in \text{int}(C)$ for every $t \in (0, 1]$. In particular, $\text{int}(\text{int}(C)) = \text{int}(C)$ for every convex subset C . We equip V with a locally convex topology, called the *algebraic topology* (also known as the finest locally convex topology), by declaring that any convex set C such that $C = \text{int}(C)$ is open. Then, every linear functional on V is continuous and every linear subspace of V is closed.

Now we consider the case where the \mathbb{R} -vector space V is equipped with a linear involution $*$ and the subspace $V^h = \{v \in V : v = v^*\}$ of the hermitian elements has a distinguished cone $V^+ \subset V^h$. A linear functional $\phi: V \rightarrow \mathbb{R}$ is said to be positive if $\phi(v^*) = \phi(v)$ and $\phi(V^+) \subset \mathbb{R}_{\geq 0}$. We note that every linear functional on V^h uniquely extends to an hermitian linear functional on V . An element $e \in V^+$ is called an *order unit* if for every $v \in V^h$ there is $R > 0$ such that $v + Re \in V^+$. Thus order units are nothing but algebraic interior points of V^+ in V^h . By the Hahn–Banach separation theorem (also known as the Eidelheit–Kakutani theorem in this context), one has for every order unit $e \in V^+$ that

$$\begin{aligned}\overline{V^+} &= \{v \in V^h : \phi(v) \geq 0 \text{ for all positive linear functionals } \phi \text{ on } V\} \\ &= \{v \in V^h : v + \epsilon e \in V^+ \text{ for every } \epsilon > 0\}.\end{aligned}$$

Moreover, one has $\text{int}(\overline{V^+}) = \text{int}(V^+)$ whenever $\text{int}(V^+) \neq \emptyset$.

Next, we recall Positivstellensatz for group algebras. Let Γ be a discrete group and $\mathbb{R}[\Gamma]$ be the real group algebra. A typical element in $\mathbb{R}[\Gamma]$ will be denoted by $\xi = \sum_{x \in \Gamma} \xi(x)x$, where $\xi: \Gamma \rightarrow \mathbb{R}$ is a finitely supported function. The unit of Γ , as well as the unit of $\mathbb{R}[\Gamma]$, is simply denoted by 1. The $*$ -operation is given by $\xi^*(x) = \xi(x^{-1})$, and the positive cone of $\mathbb{R}[\Gamma]^h$ is given by the sums of hermitian squares

$$\Sigma^2 \mathbb{R}[\Gamma] = \left\{ \sum_{i=1}^n \xi_i^* \xi_i : n \in \mathbb{N}, \xi_1, \dots, \xi_n \in \mathbb{R}[\Gamma] \right\} \subset \mathbb{R}[\Gamma]^h.$$

Then, 1 is an order unit for $\mathbb{R}[\Gamma]$ and the algebra $\mathbb{R}[\Gamma]$, together with the $*$ -positive cone $\Sigma^2 \mathbb{R}[\Gamma]$, is a semi-pre- C^* -algebra in the sense of [Oz13], and Positivstellensatz (see Corollary 2 in [Oz13] or Proposition 15 in [Sc09]) states the following.

Theorem 1. *For $\xi \in \mathbb{R}[\Gamma]^h$, the following are equivalent.*

- (1) $\pi(\xi) \geq 0$ for every orthogonal Γ -representation π .
- (2) $\xi \in \overline{\Sigma^2 \mathbb{R}[\Gamma]}$, i.e., for every $\epsilon > 0$ one has $\xi + \epsilon 1 \in \Sigma^2 \mathbb{R}[\Gamma]$.

Here, by $\pi(\xi) \geq 0$ we mean that the self-adjoint operator $\pi(\xi)$ is positive semi-definite. It follows that for Δ_μ as in Introduction, the group Γ has property (T) if and only if there is a constant $\kappa > 0$ such that for every $\epsilon > 0$ one has $\Delta_\mu^2 - \kappa \Delta_\mu + \epsilon 1 \in \Sigma^2 \mathbb{R}[\Gamma]$. However, this characterization is not very satisfactory, because for a given $\kappa > 0$ one has to solve infinitely many equations to verify property (T) of Γ .

3. PROOF OF MAIN THEOREM

Let $I[\Gamma] = \{\xi \in \mathbb{R}[\Gamma] : \sum_x \xi(x) = 0\}$ be the *augmented ideal* of the group algebra $\mathbb{R}[\Gamma]$. We note that $I[\Gamma]$ is spanned by $\{1 - x : x \in \Gamma\}$ and that two natural positive cones of $I[\Gamma]^h$ coincide: $I[\Gamma] \cap \Sigma^2 \mathbb{R}[\Gamma] = \Sigma^2 I[\Gamma]$ (cf. Lemma 4.5 in [NT13]). The reason we look at $I[\Gamma]$ is that the Laplacian element Δ_μ , defined in Introduction, belongs to $\Sigma^2 I[\Gamma]$. In fact, it is an order unit.

Lemma 2. *The Laplacian element Δ_μ is an order unit for $I[\Gamma]$. In particular,*

$$\overline{\Sigma^2 I[\Gamma]} = \{\xi \in I[\Gamma]^h : \xi + \epsilon \Delta_\mu \in \Sigma^2 I[\Gamma] \text{ for every } \epsilon > 0\}.$$

Proof. For $\xi, \eta \in I[\Gamma]^h$, we write $\xi \leq \eta$ if $\eta - \xi \in \Sigma^2 I[\Gamma]$. Since $I[\Gamma]^h$ is spanned by $2 - x - x^{-1} = (1 - x)^*(1 - x)$, $x \in \Gamma$, it suffices to show

$$\{x \in \Gamma : \exists R > 0 \text{ such that } (1 - x)^*(1 - x) \leq R \Delta_\mu\} = \Gamma.$$

It is clear that the left hand side contains the support of μ . Also since

$$\begin{aligned} (1 - xy)^*(1 - xy) &= (1 - x + x(1 - y))^*(1 - x + x(1 - y)) \\ &\leq 2(1 - x)^*(1 - x) + 2(1 - y)^*(1 - y), \end{aligned}$$

it forms a subgroup and hence is equal to Γ . □

In general for a subspace $W \subset V$, the inclusion $\overline{W \cap V^+} \subset W \cap \overline{V^+}$ can be strict. Here we prove that the equality holds for the case $I[\Gamma] \subset \mathbb{R}[\Gamma]$.

Lemma 3. *For every positive linear functional $\phi: I[\Gamma] \rightarrow \mathbb{R}$, there is a sequence of positive linear functionals $\phi_n: \mathbb{R}[\Gamma] \rightarrow \mathbb{R}$ such that $\phi_n \rightarrow \phi$ pointwise on $I[\Gamma]$. In particular, one has $\overline{\Sigma^2 I[\Gamma]} = I[\Gamma] \cap \overline{\Sigma^2 \mathbb{R}[\Gamma]}$ in $\mathbb{R}[\Gamma]$.*

Proof. We use the theory of conditionally negative type functions, for which we refer the reader to Appendix C in [BHV08] or Appendix D in [BO08]. Let a positive linear functional $\phi: I[\Gamma] \rightarrow \mathbb{R}$ be given. We claim that $\psi(x) = \phi(1 - x)$ defines a conditionally negative type function on Γ . Indeed, for every $\xi \in I[\Gamma]$, one has

$$\sum_{x, y \in \Gamma} \psi(y^{-1}x) \xi(y) \xi(x) = -\phi(\xi^* \xi) \leq 0.$$

(In case Γ has property (T), the conditionally negative type function ψ is bounded and so it is of the form $\|v - \pi(x)v\|^2$ for some orthogonal representation π and a vector v , which implies that ϕ extends to a positive linear functional on $\mathbb{R}[\Gamma]$.) It follows from Schoenberg's theorem that the functions $\phi_t(x) := \exp(-t\psi(x))$ are of positive type for all $t \in \mathbb{R}_{\geq 0}$, i.e., they extend to positive linear functionals on $\mathbb{R}[\Gamma]$. Since

$$\lim_{t \rightarrow 0} t^{-1} \phi_t(1 - x) = \lim_{t \rightarrow 0} \frac{1 - \exp(-t\phi(1 - x))}{t} = \phi(1 - x)$$

for every $x \in \Gamma$, one has $t^{-1} \phi_t \rightarrow \phi$ on $I[\Gamma]$. The second assertion follows from this. \square

Combining the above lemmas with Theorem 1, we arrive at the following.

Proposition 4. *For every $\xi \in I[\Gamma]^h$, the following are equivalent.*

- (1) $\pi(\xi) \geq 0$ for every orthogonal Γ -representation π .
- (2) $\xi \in \overline{\Sigma^2 I[\Gamma]}$, i.e., $\xi + \epsilon \Delta_\mu \in \Sigma^2 I[\Gamma]$ for every $\epsilon > 0$.

Proof of Main Theorem. As it is noted in the introduction, the group Γ has property (T) if and only if there is $\kappa' > 0$ such that $\Delta_\mu^2 - \kappa' \Delta_\mu \in \overline{\Sigma^2 \mathbb{R}[\Gamma]}$. Thus, the 'if' part of the assertion follows. For the other direction, let us assume that Γ has property (T). Then, Lemmas 3 and 2 imply that $\Delta_\mu^2 - (\kappa' - \epsilon) \Delta_\mu \in \Sigma^2 I[\Gamma]$ for every $\epsilon > 0$. Taking $\epsilon < \kappa'$ and letting $\kappa = \kappa' - \epsilon$, we are done. Indeed, this shows that $\Delta_\mu^2 - \kappa \Delta_\mu$ is an interior point of $\Sigma^2 I[\Gamma]$ (inside $I[\Gamma]^h$). Hence, it also belongs to the sub-cone

$$\left\{ \sum_{i=1}^n r_i \xi_i^* \xi_i : n \in \mathbb{N}, r_i \in \mathbb{R}^+, \xi_1, \dots, \xi_n \in \mathbb{Q}[\Gamma] \cap I[\Gamma] \right\}$$

which is dense and has non-empty interior (see the proof of Lemma 2). Now, if μ and κ are rational, then for a given finite sequence $\xi_1, \dots, \xi_n \in \mathbb{Q}[\Gamma]$, the linear equation $\Delta_\mu^2 - \kappa \Delta_\mu = \sum_{i=1}^n r_i \xi_i^* \xi_i$ in $(r_i)_{i=1}^n$ has a positive real solution if and only if it has a positive rational solution. \square

Examples for which an explicit formula $\Delta_\mu^2 - \kappa \Delta_\mu = \sum_i \xi_i^* \xi_i$ is known are provided by [BS97, Žu03] (see also Theorem 5.6.1 in [BHV08] and Theorem 12.1.15 in [BO08]).

Example 5. Let Γ be a group generated by a finite symmetric set S such that $1 \notin S$. The link is the graph with the vertex set S and the edge set $E := \{(x, y) : x^{-1}y \in S\}$. We put on S the probability measure $\mu(x) = |\{y \in S : (x, y) \in E\}|/|E|$, and on E the uniform probability measure. Define $d: L^2(S, \mu) \rightarrow L^2(E)$ by $(d\xi)(x, y) = \xi(y) - \xi(x)$, and let $\Lambda = d^*d/2$ be the Laplacian operator on $L^2(S, \mu)$. If the link graph is connected and the spectrum of Λ is contained in $\{0\} \cup [\lambda, +\infty)$, then there are $\xi_i, i \in S$, such that $\Delta_\mu - (2 - \lambda^{-1})\Delta_\mu = \sum_i \xi_i^* \xi_i$. Hence, Γ has property (T) if $\lambda > 1/2$. Indeed, for the orthogonal projection P from $L^2(S, \mu)$ onto the one dimensional subspace of constant

functions, one has $\lambda^{-1}\Lambda + P - I \geq 0$ and so there is an operator T on $L^2(S, \mu)$ such that $\lambda^{-1}\Lambda + P - I = T^*T$. Thus, by writing $A_{x,y} = \langle A\delta_y, \delta_x \rangle$ for each operator A on $L^2(S, \mu)$, one has

$$\sum_{x,y \in S^2} (\lambda^{-1}\Lambda_{x,y} + P_{x,y} - I_{x,y})x^{-1}y = \sum_{x,y \in S^2} \sum_{i \in S} \mu(i)^{-1}T_{i,x}T_{i,y}x^{-1}y = \sum_{i \in S} \xi_i^* \xi_i,$$

where $\xi_i = \mu(i)^{-1/2} \sum_x T_{i,x}x \in \mathbb{R}[\Gamma]$. But since $\Lambda_{x,x} = \mu(x)$, $\Lambda_{x,y} = |E|^{-1}$ for $(x, y) \in E$, and $\Lambda_{x,y} = 0$ otherwise; $P_{x,y} = \mu(x)\mu(y)$; and $I_{x,y} = \delta_{x,y}\mu(x)$; the left hand side is

$$\begin{aligned} & \lambda^{-1}\left(1 - \frac{1}{|E|} \sum_{(x,y) \in E} x^{-1}y\right) + \left(\sum_{x,y \in S} \mu(x)\mu(y)x^{-1}y\right) - 1 \\ &= \lambda^{-1}\left(1 - \sum_x \mu(x)x\right) + \left(\sum_x \mu(x)x\right)^2 - 1 \\ &= \Delta_\mu^2 - (2 - \lambda^{-1})\Delta_\mu. \end{aligned}$$

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